



Enrollment Package



Targeted Lending Co., LLC
5500 Main Street, Suite 300
Williamsville, NY 14221

TLC Portal Link
Phone: 716-266-6700
Fax: 716-276-3424
Web: www.targetedlending.com
Contact: www.targetedlending.com/contact-us

Submit Credit Applications to:
credit@targetedlending.com
Submit Document Requests to:
documents@targetedlending.com

Email or Fax completed BROKER

APPLICATION to:

rhazard@targetedlending.com

or

mlawrence@targetedlending.com

BUSINESS INFORMATION

Legal Company Name		Fed Tax ID	Years in Business
DBA		State of Incorporation	
Company Address	City	State	Zip
Website	Telephone	Fax	
Do you have a California Lenders License? <input type="checkbox"/> Yes <input type="checkbox"/> No			

PERSONAL INFORMATION (Owners, Members, Partners or Officers)

Principal 1 Name		Title	
Home Address	City	State	Zip
Cell Phone #	Email		
Social Security #	Ownership %		

Principal 2 Name		Title	
Home Address	City	State	Zip
Cell Phone #	Email		
Social Security #	Ownership %		

FUNDING SOURCE REFERENCES

Funding Source	Contact Name	Contact Email	Contact Phone
Funding Source	Contact Name	Contact Email	Contact Phone

By signing below, the undersigned individual(s), who is/are the principal(s) of the company provides written instruction to Targeted Lending Co., LLC (and any designee, assignee or potential assignee) authorizing review of his/her personal credit profile from a national credit bureau. Such authorization shall extend to obtaining a credit profile from a national credit bureau and authorizes all requested bank and trade information to be released via telephone, fax, or email. Such authorization shall extend to updating the credit profile as needed by Targeted Lending Co., LLC. A photo/fax copy of this authorization shall be valid as the original. By Signature below, I/we affirm my/our identity as the respective individual(s) identified in the above application.

Signature Date

Printed Name

Title

Signature Date

Printed Name

Title

BROKER QUESTIONNAIRE

Please complete the following questions to complete the registration process.

PLEASE SELECT THE PRODUCTS YOU ARE CURRENTLY OFFERING YOUR CUSTOMERS:

☐ EFA

☐ Lease

☐ Working Capital

☐ Other _____

Do you have more than 1 location for your business? Yes ☐ No ☐

If Yes, please list physical addresses:

WHAT TOOLS DO YOU NEED TO EFFECTIVELY GENERATE AND CLOSE MORE BUSINESS?

☐ _____

☐ _____

☐ _____

☐ _____

☐ _____

☐ _____

TRANSACTION HISTORY

Average number of deals <i>processed</i> monthly:		Average transaction size:	
Average number of deals <i>funded</i> monthly:		\$	
Please list your Top 5 Industries		Please classify your annual deal flow by ticket size	
1		\$1,000 - \$50,000	%
2		\$50,001 - \$100,000	%
3		\$100,001 - \$500,000	%
4		\$500,001+	%
5		Total	100.00%

ADDITIONAL COMMENTS

Automated Payment Service

By signing below you are authorizing Targeted Lending Co., LLC to electronically remit to your bank account all earned commissions on funded loans.

Company Name _____

Bank Name _____

☐ Checking Account ☐ Savings Account

Account Number _____

Bank ABA Number _____

Corporate Officer Authorization _____
Signature Title Date

PLEASE ATTACH A VOIDED CHECK

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type See Specific Instructions on page 2.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶ _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
	6 City, state, and ZIP code	
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number									
				-				-	
or									
Employer identification number									
				-					

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.